NITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offi-Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

51167 7590

WALTER W. DUFT 8616 MAIN STREET SUITE 2 WILLIAMSVILLE, NY 14221

03/12/2008

EXAMINER EHICHIOYA, FRED I PAPER NUMBER ARTHNIT

2162 DATE MAILED: 03/12/2008

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/795.941 03/08/2004 Paul E. McKenney BEA920030026US1 1342

TITLE OF INVENTION: EFFICIENT SUPPORT OF CONSISTENT CYCLIC SEARCH WITH READ-COPY-UPDATE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	06/12/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless corrects maintenance fee notifica	ed below or directed oth	ng the Patent, advance on nerwise in Block 1, by (	a) specifying a new o	orres	pondence address;	and/or	(b) indicating a sepa	arate "l	FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.					
WALTER W. 8616 MAIN STI SUITE 2	REET	/2008		I her State addre trans	Cer eby certify that the sepostal Service vessed to the Mail mitted to the USP	tificate is Fec(: vith suf I Stop TO (57	of Mailing or Trans s) Transmittal is being ficient postage for fir ISSUE FEE address I) 273-2885, on the d	missio g depos st class above late ind	n sited with the United mail in an envelope , or being facsimile licated below.
WILLIAMSVII	LE, NY 14221								(Depositor's name)
				_					(Signature)
				Ш					(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CON	FIRMATION NO.
10/795,941 TITLE OF INVENTION	03/08/2004 EEFFICIENT SUPPOR	T OF CONSISTENT CY	Paul E. McKenney CLIC SEARCH WITH		AD-COPY-UPDA		A920030026US1		1342
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	Т	DATE DUE
nonprovisional	NO	\$1440	\$300		\$0		\$1740		06/12/2008
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	$\neg$					
EHICHIOY	EHICHIOYA, FRED I		707-008000	_	_				
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A	ondence address (or Cha B/122) attached. ication (or "Fee Address 22 or more recent) attach ND RESIDENCE DAT/ less an assignce is ident h in 37 CFR 3.11. Comp	inge of Correspondence "Indication form and Use of a Customer		nativ ingle or a attor I be p r typ re pa an a	ely, elirm (having as a gent) and the namely or agents. If printed.  e) ttent. If an assignassignment.	memb es of u no nam	er a 2p to p to se is 3	ocume	nt has been filed for
Please check the appropr	iate assignee category or	categories (will not be p	rinted on the patent):	۵	Individual 🖵 Co	orporati	on or other private gro	oup ent	ity Government
4a. The following fee(s)  Issue Fee  Publication Fee (N Advance Order -	vo small entity discount p	permitted)	b. Payment of Fee(s): (     A check is enclos     Payment by credi     The Director is he overpayment, to I	ed. t card reby	i. Form PTO-2038	is atta	ched. required fee(s), any de	ficienc	
a. Applicant claim	s SMALL ENTITY state	as. See 37 CFR 1.27.	☐ b. Applicant is no	long	ger claiming SMA	LL EN	TITY status. Sec 37 Cl	FR 1.2	7(g)(2).
NOTE: The Issue Fee an	d Publication Fee (if req	uired) will not be accepte tes Patent and Trademark	d from anyone other the	an th	ne applicant; a regi	stered a	attorney or agent; or th	ie assig	gnee or other party in
Authorized Signature					Date				
Typed or printed name			Registration No.						
This collection of inform an application. Confiden submitting the complete this form and/or suggests Box 1450, Alexandria, V Alexandria, Virginia 223	nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this but 'irginia 22313-1450. DC k13-1450.	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain 1.14. This collection is depending upon the is e Chief Information O COMPLETED FORM	or re s esti ndivi ffice S TC	etain a benefit by t imated to take 12 idual case. Any r, U.S. Patent and THIS ADDRESS	he publ minutes omment Traden S. SENI	tic which is to file (and to complete, including s on the amount of tin nark Office, U.S. Dep D TO: Commissioner	I by the ig gath me you artmen for Pat	e USPTO to process) ering, preparing, and a require to complete t of Commerce, P.O. ents, P.O. Box 1450,



# UNITED STATES PATENT AND TRADEMARK OFFICE

## NITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offic Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

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APPLICATION NO.		FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/795,941		03/08/2004	Paul E. McKenney	BEA920030026US1 1342			
51167	7590	03/12/2008		EXAMINER			
WALTER W. DUFT				EHICHIOYA, FRED I			
8616 MAIN ST	REET			ART UNIT	PAPER NUMBER		
SUITE 2		17.1.4001		2162			
WILLIAMSVILLE, NY 14221				TO ACTURA A A ST. LUTD. GOALLO GOODG			

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 659 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 659 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

# Intoniou Cummon

Application No. Applicant(s) 10/795,941 MCKENNEY, PAUL E.

interview Summary			
,	Examiner	Art Unit	
	FRED I. EHICHIOYA	2162	
All participants (applicant, applicant's representative, PTO	personnel):		
(1) <u>FRED I. EHICHIOYA</u> .	(3)		
(2) Walter W. Duft (Reg. No. 31,948).	(4)		
Date of Interview: 27 February 2008.			
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	2)  applicant's representative	e]	
Exhibit shown or demonstration conducted: d)☐ Yes If Yes, brief description: <u>N/A</u> .	e)⊠ No.		
Claim(s) discussed: <u>1,6,11,16,21 and 26</u> .			
Identification of prior art discussed: <u>N/A</u> .			
Agreement with respect to the claims f) was reached. g	)☐ was not reached. h)☐ N	I/A.	
Substance of Interview including description of the general reached, or any other comments: <u>See Continuation Sheet</u> .	nature of what was agreed to	if an agreement	was
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached.	opy of the amendments that w		
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MIPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INT FILE A STATEMENT OF THE SUBSTANCE OF THE INTEREDIT OF THE INTEREDI	last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM,	been filed, APP OAYS FROM T WHICHEVER IS	LICANT IS 'HIS
	/Fred I. Ehichioya/		
	Examiner, Art Unit 2162		
Examiner Note: You must sign this form unless it is an	Examiner's signature, if requi	red	

Attachment to a signed Office action.

U.S. Patent and Trademark Office
PTOL-413 (Rev. 04-03) Interview Summary Paper No. 20080228

### Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record
A complete written statement as to the substance of any factor-bace, video conference, or telephone interview with regard to an application must be made of record in the application where or not an apprenent with the examiner was reached at the interview.

# Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135, (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged only promise, stipulation, or understanding in relation to with there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant of the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal Interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate. the Form should be mailed ormountly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the interview Summay Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
  - 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the
- Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the applicant may desire to emphasize and fully
  - describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "interview Record CK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Application No. 10/795,941

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments. Examiner suggested to applicant's representative (Mr. Duft) to amend the above independent claims by removing the "ir clause from the claim limitation to overea a possibile USC 112 and also to amend the claims to better illustrate the nature and operation of the claimed subject matter. Mr Duft accepted Examiner's proposition by drafting and faxing the proposed amendment to the Examiner. Mr. Duft also authorizes Examiner's amendment if this tentative proposed amendment is accepted.